

PTO/SB/97 (12-97)

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Signature

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Transmittal Letter (2 pages);
Revocation of Power of Attorney and Appointment of New Power of Attorney for
The Trustees of Dartmouth College (1 page);
Statement Under 37 C.F.R. 3.73(b) for The Trustees of Dartmouth College (2
pages);
Certificate of Transmission under 37 CFR 1.8 (1 page).

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Dated: 2/6/04 Signature: [Signature]
(Flynn Barrison)

Docket No.: 20052/1200517-US3
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Randolph J. Noelle et al.

Application No.: 09/467,317

Group Art Unit: 1642

Filed: Dec. 20, 1999

Examiner: P. Gambel

For: USE OF ANTIBODIES THAT SPECIFICALLY
BIND CD40R (cd40 LIGAND) TO INHIBIT
HUMORAL IMMUNITY

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Certificate of Transmission under 37 CFR 1.8 (1 page);
2. Revocation of Power of Attorney and Appointment of New Power of Attorney for The Trustees of Dartmouth College (1 page);
3. Statement Under 37 C.F.R. 3.73(b) for The Trustees of Dartmouth College (2 pages);

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Application No.: 09/467,317

2

Docket No.: 20052/1200517-US3

The Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any excess in this fee to Deposit Account No. 04-0100.

Dated: February 6, 2004

Respectfully submitted,

*Paul F. Fehlner*By *Paul F. Fehlner* (53,970)

Paul F. Fehlner, Ph.D.

Registration No.: 35,135

DARBY & DARBY P.C.

P.O. Box 5257

New York, New York 10150-5257

(212) 527-7700

Attorneys/Agents For Applicant

{W:\20052\1200517us3\00130996.DOC INFORMATION }
(XXXXXXXXXXXXXXXXXXXX)

PTO/SB/82 (08-03)

Approved for use through 11/30/2005. OMB 0851-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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REVOCATION OF POWER OF ATTORNEY and APPOINTMENT OF NEW POWER OF ATTORNEY	Application Number	09/467,317
	Filing Date	December 20, 1999
	First Named Inventor	Randolph J. Noelle
	Art Unit	N/A
	Examiner Name	P. Gambel
	Attorney Docket Number	20052/1200517-US3

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FEB 06 2004

OFFICIAL

I hereby revoke all previous powers of attorney given in the above-identified application:

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners at Customer Number:

07278

☒ Please change the correspondence address for the above-identified application to:☐ The address associated with Customer Number:

OR

☒ Firm or Individual Name
DARBY & DARBY P.C.
Paul F. Fehlner, Ph.D.

Address P.O. Box 5257

City New York

Country US

State NY

Zip 10150-5257

Telephone (212) 527-7700

Fax (212) 753-8237

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name Alla Kan (for the Trustees of Dartmouth College)

Signature

Date

1/7/04

Telephone

603-646-3027

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of 1 forms are submitted.

Express Mail Label No.

Dated:

{W:\20052\1200517-US3\00092113.DOC MICROFILMED 02/06/2004 }

PTO/SB/86 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Randolph J. Noelle, et al.Application No./Patent No.: 09/467,317 Filed/Issue Date: 12/20/1999Entitled: CD40 RECEPTOR AND LIGANDS THEREFOR

Trustees of Dartmouth College , a University
 (Name of Assignee) Type of Assignee, e.g., corporation, partnership, university, government agency, etc.

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
 2. ☐ an assignee of less than the entire right, title and interest.
 The extent (by, percentage) of its ownership interest is _____ %

In the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Randolph Noelle To: Trustees of Dartmouth College
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.
 (NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1/7/04
 Date

Alla Kan
 Typed or printed name
Alla Kan
 Signature
 Director
 Title

Express Mail Label No.

Dated: _____

{W:\20052\1200517-US3\00092110.DOC [REDACTED]}

1

JOINT

ASSIGNMENT

WHEREAS, I, RANDOLPH NOELLE, ASSIGNOR, citizen of the United States, residing at Freeman Hill Road, Plainfield, New Hampshire 03781, am a co-inventor with ALEJANDRO A. ARUFFO, JEFFREY A. LEDBETTER, and IVAN STAMENKOVIC of the invention in THE CD40 RECEPTOR AND LIGANDS THEREFOR for which we have executed an application for a Patent of the United States *CD 40 RJW 5/12/92*

which is identified by Pennie & Edmonds docket no. 5624-175
which was filed on February 14, 1992, Serial No. 07/835,799

and WHEREAS, THE TRUSTEES OF DARTMOUTH COLLEGE, a corporation of the State of New Hampshire, having its principal place of business at Hanover, New Hampshire 03755, ASSIGNEE is desirous of obtaining the ASSIGNOR'S entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 11th day of May, 1992

Randolph J. Noelle L.S.
Randolph Noelle

State of New Hampshire
County of Grafton) S.S.:

On this 12th day of May, 1992 before me, a Notary Public in and for the State and County aforesaid, personally appeared RANDOLPH NOELLE, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.